

Registration Form for Disclosure of Source of the Genetic Resource

		Item ② & ④ are to be filled in by the Chinese Patent Office (Only if NOT YET ANNOUNCED)	
① Title of Invention		② Application No.	
③ Applicant		④ Filing Date	
⑤ Name of Genetic Resource			
⑥ Acquisition of Genetic Resource I Genetic Resource is/are acquired from: <input type="checkbox"/> Animal <input type="checkbox"/> Plant <input type="checkbox"/> Microorganism <input type="checkbox"/> Human Being II Genetic Resource is/are acquired by: <input type="checkbox"/> Purchase <input type="checkbox"/> Gift / Exchange <input type="checkbox"/> Depository Institution <input type="checkbox"/> Seed Library (Germ Plasm Bank) <input type="checkbox"/> Gene Library <input type="checkbox"/> Self-collection <input type="checkbox"/> Commissioned-collection <input type="checkbox"/> Others			
⑦ Direct Source	Not By Collection	⑧ Time of Acquisition	_____ (Month) _____ (Year)
		⑨ Name(s) of Supplier(s)	
		⑩ Country/Region of Supplier(s)	
	By Collection	⑪ Contact Information of Supplier(s)	
		⑫ Place of Acquisition (Country, Province (City))	
		⑬ Name(s) of Collector(s)	
		⑭ Contact Information of Collector(s)	
⑮ Original Source	⑯ Name(s) of Collector(s)		
	⑰ Contact Information of Collector(s)		
	⑱ Time of Acquisition	_____ (Month) _____ (Year)	
	⑲ Place of Acquisition (Country, Province (City))		
⑳ Reason for being unable to explain the original source of genetic resources			
㉑ Signature/Seal of Applicant(s)/Agent		㉒ For Official Use	
(Day) (Month) (Year)		(Day) (Month) (Year)	

Attention

1. Generally one registration form is for one genetic resource. If the genetic resource has plural names while the contents for the other columns are identical, however, it may use one registration form only.
2. The form shall be filled out in Chinese, and shall be typed or printed in black.
3. The contents filled in columns ①, ②, ③, ④ of this form should be consistent with those in the Request for Filing a Patent Application. If the application has undergone formality procedures for the change of bibliographic data, said columns shall be filled out according to the contents that have been approved by the State Intellectual Property Office. Where the application number is not settled, the corresponding column shall be filled out by the State Intellectual Property Office.
4. The squares (□) of this form list situations to be chosen by the person filling out the form. Where the situations behind the square exist, corresponding squares shall be marked.
5. “The Name of Genetic Resource” in column ⑤ of this form refers to the corresponding name or sequence number of the genetic resource in the application documents.
6. “By collection” in column ⑦ of this form refers to acquiring the genetic resource by self-collection or commissioned collection. Place of acquisition should be as specific as the province (city) level.
7. “Contact Information of Supplier(s)” in columns ⑪, ⑭ and ⑰ of this form comprises mailing addresses, email addresses, etc.. A mailing address in China should comprise province (municipality or autonomous region), city, district, street, door number, post code; a mailing address of a foreigner should comprise country, state (city, county), post code.
8. For column ⑲ of this form, generally the applicant should disclose the place of acquisition as specifically as the province (city). If the applicant is not able to disclose as specifically as the province (city), disclosing the country is also allowable. Nevertheless, if the direct source of the genetic resource is from collection by the applicant him/herself or someone entrusted, the original source of the genetic resource shall be given, and said

original source shall be disclosed as specifically as the province (city). If the applicant does have no idea of the original source, reasons shall be presented in column ②0.

9. Where human genetic resource is involved, in disclosing relevant information of the source, the applicant shall not disclose the name, ID number or detailed address of the individual from whom the genetic resource is collected.
10. Where there is not enough space for any of the columns, additional page may be used marking e.g., “continued column ⑤: Name of the Genetic Resource”.
11. For column ②1 of this form, where a patent agency is entrusted, it shall be duly signed with the agency’s corporate seal. Where no patent agency is entrusted, for an applicant being individual, it shall be duly signed with the applicant’s signature or seal; for an applicant being entity, it shall be duly signed with the corporate seal; for more than one applicant, it shall be duly signed with the representative’s signature or seal.